

\$1751/4

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15300

In Re Application Of: **Hideto Imai, et al.**

Serial No.  
10/082,965

Filing Date  
February 25, 2002

Examiner  
K.M. Vijayakumar

Group Art Unit  
1751

Title: **THERMOELECTRIC MATERIAL AND THERMOELECTRIC CONVERTING ELEMENT USING  
THE SAME**

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2004

TC 1700



Address to:  
Commissioner for Patents  
P.O. Box 1460  
Alexandria, VA 22313-1460

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **19-1013/SSMP** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on **July 2, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: **July 2, 2004**

**Paul J. Esatto, Jr.**  
**Registration No.: 30,749**  
**Scully, Scott, Murphy & Presser**  
**400 Garden City Plaza**  
**Garden City, NY 11530**  
**(516) 742-4343**

**PJE/MME/rjl**

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Hideto Imai, et al.

**Serial No:** 10/082,965

**Filed:** February 25, 2002

**For:** THERMOELECTRIC MATERIAL  
AND THERMOELECTRIC CONVERTING  
ELEMENT USING THE SAME

**Confirmation No.:** 4998

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

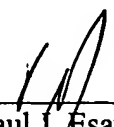
1. Japanese Patent Laid-Open Publication No. 7-231122, dated August 29, 1995.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on July 2, 2004.

Dated: July 2, 2004

  
Paul J. Esatto, Jr.



**Examiner:** Kallambella M. Vijayakumar

**Art Unit:** 1751

**Docket:** 15300

**Dated:** July 2, 2004

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Applicants are submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), the \$180 fee is enclosed.


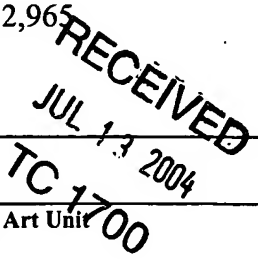
Respectfully submitted,



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PJE/MMR/rjl

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE CITATION</b>  <i>(Use several sheets if necessary)</i>		<b>Atty. Docket No. (Optional)</b>  15300	<b>Application Number</b>  10/082,965					
		<b>Applicants</b> Hideto Imai, et al.						
		<b>Filing Date</b> February 25, 2002			<b>Group Art Unit</b> 1751			
<b>U.S. PATENT DOCUMENTS</b>								
<b>EXAMINER INITIAL*</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE (if appropriate)</b>	
	AA							
	AB							
	AC							
	AD							
	AE							
<b>FOREIGN PATENT DOCUMENTS</b>								
	<b>REF</b>	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
		7-231122	08/29/95	Japan			<b>YES</b>	<b>NO</b>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								